

McConnell Senate Committee '14

RECEIVED
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PUBLIC

14 MAY 12 PM 1:50

May 6, 2014

Ryan Furman
Campaign Finance Analyst
Federal Election Commission
c/o US Secretary of the Senate
232 Hart Senate Office Building
Washington, DC 20510

Identification number: C00193342

Reference: Year-End Report (10/1/13-12/31/13)

Dear Mr. Furman:

This letter is in response to your request for additional information, dated April 2, 2014.

1. Your letter indicates that the Committee disclosed one or more contributions that appear to exceed the limits set forth in the act. The Committee is aware of the contribution limits. In the case of an individual, if the contribution cannot be presumptively reattributed to a spouse or redesignated to a subsequent election, the Committee either sends a verified reattribution letter or refunds the excessive portion within 60 days of receipt. The Committee sends all presumptive reattribution and redesignation letters within 30 days of receipt and always gives the donor the option of making a change or requesting a refund. When the Committee receives contributions from other political committees that cause an excessive total, the Committee contacts the other political committee and requests a verified redesignation. If this is not received, the Committee issues a refund. The following is a response to each contributor listed in your letter:

Steven Bobb: \$900 was redesignated to the 2014 General on 1/10/14. This was disclosed on the April 2014 Quarterly Report.

Nick Carter: \$50 was previously redesignated to the 2014 General on 4/8/10.

Catesby Clay: \$1000 was reattributed to Elizabeth Clay on 1/30/14. This was disclosed on the April 2014 Quarterly Report.

Brett Coldiron: \$1500 was refunded on 3/31/14. This was disclosed on the April 2014 Quarterly Report.

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McConnell Senate Committee '14

Michael Cook: \$2400 was reattributed to Cynthia Cook on 2/13/14. This was disclosed on the April 2014 Quarterly Report.

Leigh Feuerstein: \$2500 was redesignated to the 2014 General on 1/1/14. This was disclosed on the April 2014 Quarterly Report.

Corinne Greenberg: \$2400 was redesignated to the 2014 General on 1/7/14. This was disclosed on the April 2014 Quarterly Report.

Colleen Hess: \$2500 was refunded on 2/27/14. This was disclosed on the April 2014 Quarterly Report.

Robert Hillis: \$2500 was redesignated to the 2014 General on 2/11/14. This was disclosed on the April 2014 Quarterly Report.

Brad Martin/R.Brad Martin: This was a duplicate donor in our system. The records were corrected and \$1500 was refunded on 3/31/14. This was disclosed on the April 2014 Quarterly Report.

Douglas Peterson: \$1500 was refunded on 2/18/14. This was disclosed on the April 2014 Quarterly Report.

Lisa Rose: \$2500 was refunded on 2/27/14. This was disclosed on the April 2014 Quarterly Report.

Robert Schellhas: The 2013 Year End Report has been amended to correctly disclose the 10/29/13 contribution as designated to the 2014 General. In addition, a refund in the amount of \$400 was issued on 5/5/14.

Warren Stephens: \$2400 was reattributed to Harriett Stephens on 2/11/14. This was disclosed on the April 2014 Quarterly Report.

Wayne Stoltenberg: \$1000 was reattributed to Karen Stoltenberg on 2/11/14. This was disclosed on the April 2014 Quarterly Report.

Tus-Min Tsai: \$250 was redesignated to the 2014 General on 1/5/14. This was disclosed on the April 2014 Quarterly Report.

Kim Ward: \$2500 was refunded on 2/27/14. This was disclosed on the April 2014 Quarterly Report.

Alvarez & Marsal Holdings LLC PAC: \$1400 was redesignated to the 2014 General on 1/3/14. This was disclosed on the April 2014 Quarterly Report.

Association of Oil Pipe Lines PAC: \$1000 was redesignated to the 2014 General on 1/4/14. This was disclosed on the April 2014 Quarterly Report.

McConnell Senate Committee '14

Leo Daly Co. PAC: \$2400 was redesignated to the 2014 General on 1/15/14.
This was disclosed on the April 2014 Quarterly Report.

Rock-Tenn PAC: The Year End Report has been amended to correct the FEC
filing id to C00117424. This is a multicandidate PAC and has not exceeded the
giving limits to the McConnell Senate Committee.

The McConnell Senate Committee continuously reviews its database to avoid the
acceptance of excessive contributions. Thank you for bringing these to our
attention.

Sincerely,



Lisa R. Lisker
Assistant Treasurer

FEC
FORM 3

REPORT OF RECEIPTS AND DISBURSEMENTS

For An Authorized Committee

RECEIVED
SECRETARY OF THE SENATE
PUBLIC

14 MAY 12 PM 1:52
Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines. 12FE4M5

McConnell Senate Committee 14

ADDRESS (number and street) ▼

PO Box 1496

Check if different
than previously
reported. (ACC)

Louisville

KY

40201

2. FEC IDENTIFICATION NUMBER ▼

C C00193342

CITY ▲
STATE ▲
3. IS THIS
REPORT NEW
(N) OR X AMENDED
(A)

ZIP CODE ▲
STATE ▼ DISTRICT

KY

00

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report (Q1)

July 15 Quarterly Report (Q2)

October 15 Quarterly Report (Q3)

X January 31 Year-End Report (YE)

Termination Report (TER)

(b) 12-Day PRE-Election Report for the:

Primary (12P)

General (12G)

Runoff (12R)

Convention (12C)

Special (12S)

Election on

M

Y

in the
State of

(c) 30-Day POST-Election Report for the:

General (30G)

Runoff (30R)

Special (30S)

Election on

M

in the
State of

5. Covering Period M M D D Y Y 10 01 2013 through M M D D Y Y 12 31 2013

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Lisa Lisker

Signature of Treasurer Lisa Lisker

Date

M 5 M

D 6 D

Y 2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only

FEC FORM 3
(Revised 02/2003)

SUMMARY PAGE

of Receipts and Disbursements

Write or Type Committee Name

McConnell Senate Committee 14

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
10			01			2013			

To:

M	M	/	D	D	/	Y	Y	Y	Y
12			31			2013			

	COLUMN A This Period	COLUMN B Election Cycle-to-Date
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(e)) ..	1879219.54	17886198.45
(b) Total Contribution Refunds (from Line 20(d)) ..	38126.69	196206.26
(c) Net Contributions (other than loans) (subtract Line 6(b) from Line 6(a))...	1841092.85	17689992.19
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17) ..	1039798.86	7283366.48
(b) Total Offsets to Operating Expenditures (from Line 14)...	22799.93	328117.42
(c) Net Operating Expenditures (subtract Line 7(b) from Line 7(a))...	1016998.93	6955249.06
8. Cash on Hand at Close of Reporting Period (from Line 27)...	10879596.72	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) ..	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) ..	0.00	

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3 (Revised 12/2003)

PAGE 3 / 692

Write or Type Committee Name

McConnell Senate Committee 14

Report Covering the Period:

From:

MM / DD / YYYY
10 / 01 / 2013

To:

MM / DD / YYYY
12 / 31 / 2013

I. RECEIPTS

COLUMN A
Total This Period

COLUMN B
Election Cycle-to-Date

11. CONTRIBUTIONS (other than loans) FROM:

(a) Individuals/Persons Other Than
Political Committees

(i) Itemized (use Schedule A) ...

1324688.76

11156444.55

(ii) Unitemized

78293.28

743022.31

(iii) TOTAL of contributions
from individuals .

1402982.04

11899466.86

(b) Political Party Committees...

0.00

1413.52

(c) Other Political Committees
(such as PACs)...

476237.50

4594043.07

(d) The Candidate

0.00

1391275.00

(e) TOTAL CONTRIBUTIONS

(other than loans)

(add Lines 11(a)(iii), (b), (c), and (d))..

1879219.54

17886198.45

**12. TRANSFERS FROM OTHER
AUTHORIZED COMMITTEES ..**

322161.46

1416472.20

13. LOANS:

(a) Made or Guaranteed by the
Candidate...

0.00

250000.00

(b) All Other Loans...

0.00

0.00

(c) TOTAL LOANS

(add Lines 13(a) and (b))...

0.00

250000.00

**14. OFFSETS TO OPERATING
EXPENDITURES**

(Refunds, Rebates, etc.) ..

22799.93

328117.42

15. OTHER RECEIPTS

(Dividends, Interest, etc.)

755.26

50168.37

16. TOTAL RECEIPTS (add Lines
11(e), 12, 13(c), 14, and 15)
(Carry Total to Line 24, page 4)...

2224936.19

19930956.44

14020353799

DETAILED SUMMARY PAGE of Disbursements

II. DISBURSEMENTS
COLUMN A
Total This Period

COLUMN B
Election Cycle-to-Date

17. OPERATING EXPENDITURES...

1039798.86

7283366.48

18. TRANSFERS TO OTHER
AUTHORIZED COMMITTEES ..

0.00

0.00

19. LOAN REPAYMENTS:

(a) Of Loans Made or Guaranteed
by the Candidate...

0.00

2086275.00

(b) Of All Other Loans

0.00

0.00

(c) TOTAL LOAN REPAYMENTS
(add Lines 19(a) and (b))...

0.00

2086275.00

20. REFUNDS OF CONTRIBUTIONS TO:

(a) Individuals/Persons Other
Than Political Committees...

24905.19

158884.76

(b) Political Party Committees...

0.00

0.00

(c) Other Political Committees
(such as PACs) ..

13221.50

37321.50

(d) TOTAL CONTRIBUTION REFUNDS
(add Lines 20(a), (b), and (c))...

38126.69

196206.26

21. OTHER DISBURSEMENTS ..

32400.00

189100.00

22. TOTAL DISBURSEMENTS

(add Lines 17, 18, 19(c), 20(d), and 21) ►

1110325.55

9754947.74

III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD...

9764986.08

24. TOTAL RECEIPTS THIS PERIOD (from Line 16, page 3)...

2224936.19

25. SUBTOTAL (add Line 23 and Line 24)...

11989922.27

26. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22)...

1110325.55

27. CASH ON HAND AT CLOSE OF REPORTING PERIOD
(subtract Line 26 from Line 25)...

10879596.72

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: (check only one)		PAGE 283 OF 692	
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
<input type="checkbox"/> 15			

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
McConnell Senate Committee 14

A. Full Name (Last, First, Middle Initial) Connie Goodman			Date of Receipt M M D D Y Y 11 21 2013	
Mailing Address 1805 Fours Ln			Transaction ID : A5E30BE1772D44BA59B5	
City Owensboro	State KY	Zip Code 42303-1866	Amount of Each Receipt this Period 500.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Homemaker		Occupation Homemaker		
Receipt For: 2014 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		Election Cycle-to-Date 500.00		

B. Full Name (Last, First, Middle Initial) Robert Schellhas			Date of Receipt M M D D Y Y 10 29 2013	
Mailing Address 2639 N Roosevelt St			Transaction ID : A363B0EE55125417DBC8	
City Arlington	State VA	Zip Code 22207-1011	Amount of Each Receipt this Period 1000.00	
FEC ID number of contributing federal political committee. C				
Name of Employer American Council Of Life Insurers, Inc		Occupation Vice President		
Receipt For: 2014 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)		Election Cycle-to-Date 3000.00		

C. Full Name (Last, First, Middle Initial) Kelley Cornish			Date of Receipt M M D D Y Y 10 30 2013	
Mailing Address 333 Central Park West Apt 11			Transaction ID : A73D229319C734C30B45	
City New York	State NY	Zip Code 10025-7104	Amount of Each Receipt this Period 1000.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Paul Weiss		Occupation Attorney		
Receipt For: 2014 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		Election Cycle-to-Date 1000.00		

SUBTOTAL of Receipts This Page (optional).....	2500.00
TOTAL This Period (last page this line number only).....	

14020353801

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 467 OF 692

(check only one)

☐ 11a ☐ 11b ☒ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

McConnell Senate Committee 14

Full Name (Last, First, Middle Initial)

Rock-Tenn PAC

A.

Mailing Address 504 Thrasher Street

City

State

Zip Code

Norcross

GA

30071-1967

FEC ID number of contributing
federal political committee.

C 00117424

Name of Employer

Occupation

Receipt For: 2014

☒ Primary ☐ General
☐ Other (specify)

Election Cycle-to-Date

3500.00

Date of Receipt

M M D D Y Y
11 07 2013

Transaction ID : A37A04F40C68D49CAB43

Amount of Each Receipt this Period

2500.00

B.

Full Name (Last, First, Middle Initial)

American Financial Services Assoc. PAC

Mailing Address 919 18th St., NW
Ste. 300

City

State

Zip Code

Washington

DC

20006-5526

FEC ID number of contributing
federal political committee.

C C00038604

Name of Employer

Occupation

Receipt For: 2014

☐ Primary ☒ General
☐ Other (specify)

Election Cycle-to-Date

10500.00

Date of Receipt

M M D D Y Y
11 20 2013

Transaction ID : A9F561CC61A0B41E89F0

Amount of Each Receipt this Period

3000.00

Refund issued 1/24/14

C.

Full Name (Last, First, Middle Initial)

Centene Corporation PAC

Mailing Address 7711 Carondelet Ave

City

State

Zip Code

Saint Louis

MO

63105

FEC ID number of contributing
federal political committee.

C C00397851

Name of Employer

Occupation

Receipt For: 2014

☒ Primary ☐ General
☐ Other (specify)

Election Cycle-to-Date

5000.00

Date of Receipt

M M D D Y Y Y
12 17 2013

Transaction ID : A9E4DFE45CEA040F0868

Amount of Each Receipt this Period

4000.00

SUBTOTAL of Receipts This Page (optional).....

9500.00

TOTAL This Period (last page this line number only).....



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U. S. SENATE

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13-065772

Page 1 of 1

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Lisa Lisker
Huckaby Davis Lisker
228 S. Washington St., Ste. 115
Alexandria, VA 22314

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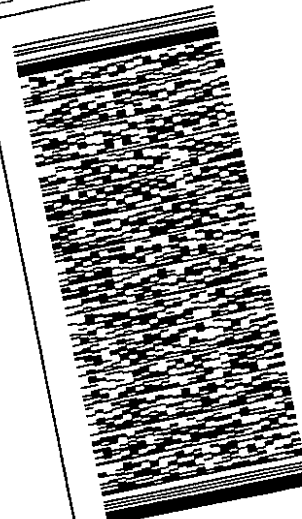
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NANCY ERICKSON
SECRETARY

DANA K. MCALLUM
SUPERINTENDENT
MAIL MAIL OFFICE B1
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WASHINGTON, DC 20510-7111
PHONE (202) 224-0322

United States Senate

OFFICE OF THE SECRETARY

OFFICE OF PUBLIC RECORDS

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5-7-14

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Date of Receipt

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Date of Receipt

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PREPARER **DH** DATE PREPARED **5-12-14**

14020353804

14020353805

